# ADDING BOUNDS WHILE PRESERVING CONGRUENCES FOR LATTICES

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ABSTRACT. A lattice is *congruence-bounded* if its largest congruence is finitely generated. We study the following two statements for some varieties  $\mathcal{V}$  of lattices.

(Q1) For every congruence-bounded lattice K in  $\mathcal{V}$  there is a bounded lattice  $L \in \mathcal{V}$  such that K and L have isomorphic congruence lattices.

(Q2) Every congruence-bounded lattice in  $\mathcal{V}$  has a bounded congruence-preserving extension in  $\mathcal{V}$ .

Given a finitely generated variety  $\mathcal{V}$  of lattices, we prove that (**Q2**) holds only in the trivial case, that is if each congruence-bounded lattice in  $\mathcal{V}$  is bounded. For example in  $\mathcal{N}_5$ , the variety generated by the five-element nonmodular lattice, every congruence-bounded lattice is bounded.

Let  $n \geq 3$ . The statement (**Q2**) fails for the variety  $\mathcal{M}_n$  generated by the lattice of length 2 with *n* atoms, however (**Q1**) holds and the construction can be made functorial.

## 1. INTRODUCTION

The set  $\operatorname{Con} L$  of all congruences of a lattice L forms an algebraic lattice for the inclusion. We denote by  $\Theta_L(x, y)$  the smallest congruence that identifies xand y, for all x, y in L. A congruence  $\alpha$  of L is *principal* if there are x, y in Lsuch that  $\alpha = \Theta(x, y)$ . A *finitely generated* congruence is a finite join of principal congruences. The set  $\operatorname{Con}_c L$  of all finitely generated congruences of L forms a distributive  $(\vee, 0)$ -semilattice for the inclusion (cf. [2]).

A lattice is *congruence-bounded* if its largest congruence is compact (or equivalently finitely generated). Every bounded lattice is congruence-bounded but the converse does not hold in general. For example consider the lattice  $M_3$  on Figure 1, denote by L the set of all sequences  $(a_n)_{n < \omega}$  of elements of  $M_3$  such that either  $\{n < \omega \mid a_n \neq 0\}$  is finite or  $\{n < \omega \mid a_n \neq x\}$  is finite, endowed with the componentwise ordering. It is easy to check that L is a lattice. Viewing 0 and x as constant sequences,  $\Theta_L(0, x) = L \times L$  is the largest congruence of L. However L has no largest element.

We know from [8] that there are distributive  $(\vee, 0, 1)$ -semilattices which are not isomorphic to the congruence lattice of any lattice. However many problems about congruence lattices of lattices are still open. The problem whether for each lattice Kthere exists a lattice L with 0 such that  $\operatorname{Con}_{c} K \cong \operatorname{Con}_{c} L$  is open (see [6, Problem 2] or the discussion before [7, Theorem 3.5]).

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The study of the following questions might be a good start to solve this problem. Let S be a  $(\lor, 0, 1)$ -semilattice, we assume that there exists a lattice K with  $\operatorname{Con}_{c} K \cong S$ . Is it possible to find a bounded lattice L with  $\operatorname{Con}_{c} L \cong S$ ? Can we choose L to be a congruence-preserving extension of K?

We do not know the answers to those questions. However we study the following related questions for small varieties  $\mathcal{V}$  of lattices.

- (Q1) For all  $K \in \mathcal{V}^{\mathbf{b}}$  there is  $L \in \mathcal{V}^{0,1}$  such that K and L have isomorphic congruence lattices.
- (Q2) Every  $K \in \mathcal{V}^{\mathrm{b}}$  has a congruence-preserving extension in  $\mathcal{V}^{0,1}$ .

We prove in Corollary 3.8 that if each subdirectly irreducible lattice in  $\mathcal{V}$  has no infinite chain, then (**Q2**) holds only in the trivial case, that is if  $\mathcal{V}^{\rm b} = \mathcal{V}^{0,1}$ .

Let  $n \geq 3$ , denote by  $\mathcal{M}_n$  the variety of lattices generated by  $M_n$  the lattice on Figure 2. We construct a functor  $\Psi \colon \mathcal{M}_n^{\mathrm{b}} \to \mathcal{M}_n^{0,1}$ , preserving colimits and such that  $\operatorname{Con}_{\mathrm{c}} \circ \Psi$  is naturally equivalent to  $\operatorname{Con}_{\mathrm{c}}$ . In particular (**Q1**) holds for  $\mathcal{M}_n$ .

## 2. Basic Concepts

We denote by 0 (resp., 1) the least (resp. largest) element of a poset if it exists. We denote by  $\mathbf{2} = \{0, 1\}$  the two-element lattice. We denote by  $\mathbf{3}$  the three-element lattice. Given an algebra A, we denote by  $\mathbf{0}_A$  (resp.,  $\mathbf{1}_A$ ) the identity congruence of A (resp., the largest congruence of A).

Let  $\mathcal{V}$  be a variety of lattices, we denote by  $\mathcal{V}^0$  (resp.,  $\mathcal{V}^{0,1}$ ) the class of all lattices in  $\mathcal{V}$  with 0 (resp., 0 and 1). We also consider  $\mathcal{V}^0$  (resp.,  $\mathcal{V}^{0,1}$ ) as subcategories of  $\mathcal{V}$ with morphisms preserving 0 (resp., 0 and 1).

Given a morphism  $f: K \to L$  of lattices, we denote by  $\operatorname{Con} f: \operatorname{Con} A \to \operatorname{Con} B$ the map that sends a congruence  $\alpha$  of K to the congruence of L generated by  $\{(f(x), f(y) \mid (x, y) \in \alpha\}$ . We denote by  $\operatorname{Con}_c f: \operatorname{Con}_c A \to \operatorname{Con}_c B$  the restriction of  $\operatorname{Con} f$ . Notice that  $\operatorname{Con}_c$  is a functor from the category of lattices with morphisms of lattices to the category of  $(\vee, 0)$ -semilattice with  $(\vee, 0)$ -homomorphism.

The kernel ker  $f = \{(x, y) \in K^2 \mid f(x) = f(y)\}$  is a congruence of K, for any morphism of lattices  $f \colon K \to L$ . For  $\beta \in \text{Con } B$  we denote by  $f^{-1}(\beta)$  the largest congruence  $\alpha$  of A such that  $(\text{Con}_c f)(\alpha) \subseteq \beta$ , notice that  $f^{-1}(\beta) = \{(x, y) \in A^2 \mid (f(x), f(y)) \in \beta\}$  is a congruence of K.

We denote by M(L) the set of all meet-irreducible elements of a lattice L. Notice that  $M(\operatorname{Con} A)$  is the set of all congruences  $\alpha$  of a lattice A such that  $A/\alpha$  is subdirectly irreducible.

For a lattice L and a, b in L, we denote by  $[a, b]_L$  the set of all x in L such that  $a \leq x \leq b$ . We say that  $[a, b]_L$  is an *interval* of L. The *length* of a chain C is  $(\operatorname{card} C) - 1$ . The *length* of a lattice L is the maximal length of a chain contained in L.

If  $K \subseteq L$  are lattices and  $\alpha$  is a congruence of L we identify  $K/(\alpha \cap K^2)$  with the sublattice  $K/\alpha = \{a/\alpha \mid a \in K\}$  of L. A congruence-preserving extension of a lattice K is a lattice L that contains K such that any congruence of K has a unique extension to L. Equivalently,  $\operatorname{Con}_{c} f$  is an isomorphism, where  $f: K \to L$  denotes the inclusion map. We also say that K is a congruence-preserving sublattice of L.

For sets X and I we often denote  $\vec{x} = (x_i \mid i \in I)$  an element of  $X^I$ . In particular, given  $n < \omega$  we denote by  $\vec{x} = (x_0, \ldots, x_{n-1})$  an n-tuple of X.

A nonempty poset P is *directed* if for all  $x, y \in P$  there exists  $z \in P$  such that  $z \ge x, y$ .

# 3. Congruence-bounded lattices with bounded congruence-preserving extensions

The aim of this section is to study varieties of lattices in which each congruencebounded lattice has a bounded congruence-preserving extension.

**Definition 3.1.** A lattice L is congruence-bounded if  $\mathbf{1}_L$  is a compact congruence.

Notation 3.2. Given a variety  $\mathcal{V}$  of lattices, we denote by  $\mathcal{V}^{\mathrm{b}}$  the category in which objects are congruence-bounded lattices of  $\mathcal{V}$ , and a morphism  $f: A \to B$  in  $\mathcal{V}^{\mathrm{b}}$  is a morphism of lattices such that  $(\operatorname{Con}_{c} f)(\mathbf{1}_{A}) = \mathbf{1}_{B}$ .

We refer to [4, Definition 1-3.1] or [1, Definitions 1.1 and 1.13] for the definition of finitely presented object.

**Lemma 3.3.** Let  $\mathcal{V}$  be a variety of algebras. The following statements hold

- (1) Let P be a directed poset, let  $\vec{A}$  be a P-indexed diagram in  $\mathcal{V}^{\mathrm{b}}$ . Let  $(A, f_p \mid p \in P)$  be a colimit cocone of  $\vec{A}$  in  $\mathcal{V}$ . Then  $(A, f_p \mid p \in P)$  is a colimit cocone of  $\vec{A}$  in  $\mathcal{V}^{\mathrm{b}}$ .
- (2) The subcategory  $\mathcal{V}^{\mathrm{b}}$  of  $\mathcal{V}$  is closed under small directed colimits.
- (3) If V is locally finite, then each finite algebra of V is a finitely presented object of V<sup>b</sup>.

*Proof.* Let P be a directed poset, let  $\vec{A} = (A_p, f_{p,q} \mid p \leq q \text{ in } P)$  be a diagram in  $\mathcal{V}^{\mathrm{b}}$ . Let  $(A, f_p \mid p \in P)$  be a colimit cocone of  $\vec{A}$  in  $\mathcal{V}$ .

Let  $p \in P$ , let  $\alpha \in \operatorname{Con}_{c} A$ . As  $\operatorname{Con}_{c}$  preserves directed colimits, there is  $q \geq p$ and  $\beta \in \operatorname{Con} A_{q}$  such that  $\alpha = (\operatorname{Con}_{c} f_{q})(\beta)$ , therefore

 $(\operatorname{Con}_{c} f_{p})(\mathbf{1}_{A_{p}}) = (\operatorname{Con}_{c} f_{q} \circ f_{p,q})(\mathbf{1}_{A_{p}}) = (\operatorname{Con}_{c} f_{q})(\mathbf{1}_{A_{q}}) \supseteq (\operatorname{Con}_{c} f_{q})(\beta) = \alpha.$ 

Thus  $\operatorname{Con}_{c} A$  is bounded and  $(\operatorname{Con}_{c} f_{p})(\mathbf{1}_{A_{p}}) = \mathbf{1}_{A}$  for all  $p \in P$ . So  $(A, f_{p} \mid p \in P)$  is a cocone of  $\vec{A}$  in  $\mathcal{V}^{\mathrm{b}}$ .

Let  $(B, g_p | p \in P)$  be a cocone of  $\vec{A}$  in  $\mathcal{V}^{\mathrm{b}}$ , there is  $g: A \to B$  a morphism in  $\mathcal{V}$  such that  $g \circ f_p = g_p$  for all  $p \in P$ . Let  $p \in P$ , as  $(\operatorname{Con}_{\mathrm{c}} f_p)(\mathbf{1}_{A_p}) = \mathbf{1}_A$  and  $(\operatorname{Con}_{\mathrm{c}} g_p)(\mathbf{1}_A) = \mathbf{1}_B$ , it follows that g is a morphism in  $\mathcal{V}^{\mathrm{b}}$ . Therefore  $(A, f_p | p \in P)$ is a colimit cocone of  $\vec{A}$  in  $\mathcal{V}^{\mathrm{b}}$ . So (1) holds.

Let P be a directed poset. Let  $\vec{A} = (A_p, f_{p,q} \mid p \leq q \text{ in } P)$  and  $\vec{B} = (B_p, g_{p,q} \mid p \leq q \text{ in } P)$  be diagrams in  $\mathcal{V}^{\mathrm{b}}$ , together with colimit cocones in  $\mathcal{V}$ 

$$(A, f_p \mid p \in P) = \varinjlim \vec{A}$$
$$(B, g_p \mid p \in P) = \lim \vec{B}$$

Let  $(h_p)_{p \in p} \colon \vec{A} \to \vec{B}$  be a natural transformation in  $\mathcal{V}^{\mathbf{b}}$ , denote by  $h \colon A \to B$  the morphism of  $\mathcal{V}$  such that  $h \circ f_p = g_p \circ h_p$  for all  $p \in P$ . The following equalities hold

$$\begin{aligned} (\operatorname{Con}_{\mathbf{c}} h)(\mathbf{1}_{A}) &= (\operatorname{Con}_{\mathbf{c}} h)((\operatorname{Con}_{\mathbf{c}} f_{p})(\mathbf{1}_{A_{p}})) & \text{ as } f_{p} \text{ is a morphism in } \mathcal{V}^{\mathbf{b}}. \\ &= (\operatorname{Con}_{\mathbf{c}} g_{p})((\operatorname{Con}_{\mathbf{c}} h_{p})(\mathbf{1}_{A_{p}})) & \text{ as } h \circ f_{p} = g_{p} \circ h_{p}. \\ &= (\operatorname{Con}_{\mathbf{c}} g_{p})(\mathbf{1}_{B_{p}}) & \text{ as } h_{p} \text{ is a morphism in } \mathcal{V}^{\mathbf{b}}. \\ &= \mathbf{1}_{B} & \text{ as } g_{p} \text{ is a morphism in } \mathcal{V}^{\mathbf{b}}. \end{aligned}$$

Thus h is a morphism in  $\mathcal{V}^{\mathrm{b}}$ . Therefore (2) holds.

Let B be a finite algebra in  $\mathcal{V}$ , it follows that  $\mathbf{1}_B$  is compact hence  $B \in \mathcal{V}^{\mathrm{b}}$ . Let P be a directed poset. Let  $\vec{A} = (A_p, f_{p,q} \mid p \leq q \text{ in } P)$  be a diagram in  $\mathcal{V}^{\mathrm{b}}$ , let  $(A, f_p \mid p \in P)$  be a colimit cocone of  $\vec{A}$  in  $\mathcal{V}^{\mathrm{b}}$ . Let  $h: B \to A$  be a morphism in  $\mathcal{V}^{\mathrm{b}}$ .

As B is finite, there exists  $p \in P$  such that  $h(B) \subseteq f_p(A_p)$ . Let  $X \subseteq A_p$  finite, such that  $h(B) = f_p(X)$ . As  $\mathcal{V}$  is locally finite C the subalgebra of  $A_p$  generated by X is finite. Moreover  $h(B) = f_p(C)$ . As C is finite, there exists  $q \geq p$ , such that  $f_q \upharpoonright (f_{p,q}(C))$  is an embedding. Thus changing p to q and C to  $f_{p,q}(C)$  we can assume that  $f_p \upharpoonright C$  is an embedding.

As  $C \cong f_p(C) = h(B)$ , there is an isomorphism  $k \colon h(B) \to C$  such that  $k \circ f_p = id_{f(B)}$ , hence  $f_p \circ k \circ h = h$ , put  $h' = k \circ h$ . Notice that:

$$(\operatorname{Con}_{c} f_{p})((\operatorname{Con}_{c} h')(\mathbf{1}_{B})) = (\operatorname{Con}_{c} h)(\mathbf{1}_{B}) = \mathbf{1}_{A} = (\operatorname{Con}_{c} f_{p})(\mathbf{1}_{A_{p}}),$$

therefore there is  $q \ge p$  such that:

$$(\operatorname{Con}_{c} f_{p,q} \circ h')(\mathbf{1}_{B}) = (\operatorname{Con}_{c} f_{p,q})(\mathbf{1}_{A_{p}}) = \mathbf{1}_{A_{q}}.$$

Put  $h'' = f_{p,q} \circ h'$ , thus  $(\operatorname{Con}_{c} h'')(\mathbf{1}B) = \mathbf{1}_{A_{q}}$ , so h'' is a morphism in  $\mathcal{V}^{\mathrm{b}}$ . Moreover  $f_{q} \circ h'' = f_{q} \circ f_{p,q} \circ h' = f_{p} \circ h' = f_{p} \circ k \circ h = h$ .

If all congruence-bounded lattices in a variety have congruence-preserving extensions with 0 then they have congruence-preserving extensions of bigger length.

**Lemma 3.4.** Let  $\mathcal{V}$  be a variety of lattices such that every countable lattices in  $\mathcal{V}^{b}$  has a congruence-preserving extension in  $\mathcal{V}^{0}$ . Let A be a countable lattice in  $\mathcal{V}^{b}$ , let a < b < c in A such that  $\Theta_{A}(b,c) = \mathbf{1}_{A}$ . There is a congruence-preserving extension  $B \in \mathcal{V}$  of A and  $t \in B$  such that t < a.

*Proof.* Denote by  $A_0 = \{u, v\}$  a two element chain with u < v, put  $A_1 = A$ , put  $A_2 = A$ . Denote by  $f_1: A_0 \to A_1, u \mapsto b, v \mapsto c$ . Denote by  $f_2: A_0 \to A_2, u \mapsto a, v \mapsto c$ .

The following construction is a special case of condensate (cf. [3, 4]). However, in this case, the construction is simple; we give a self-contained proof.

Given an element  $\vec{a} = (a_0, a_1^n, a_2^n \mid n \in \mathbb{N})$  of  $A_0 \times A_1^{\mathbb{N}} \times A_2^{\mathbb{N}}$  we denote:

supp  $\vec{a} = \{n \in \mathbb{N} \mid a_1^n \neq f_1(a_0) \text{ or } a_2^n \neq f_2(a_0)\}.$ 

Given a finite subset S of  $\mathbb{N}$  we denote:

$$L_S = \{ \vec{a} \in A_0 \times A_1^{\mathbb{N}} \times A_2^{\mathbb{N}} \mid \operatorname{supp} A \subseteq S \}.$$

Put  $L = \bigcup (L_S \mid S \text{ is a finite subset of } \mathbb{N})$ . Denote by  $\pi_0 \colon L \to A_0, \ \vec{a} \mapsto a_0$  and  $\pi_k^n \colon L \to A_k, \ \vec{a} \mapsto a_k^n$  for each  $k \in \{1, 2\}$  and each  $n \in \mathbb{N}$ .

Claim 1. The lattice L is countable and belongs to  $\mathcal{V}^{\mathrm{b}}$ .

Proof of Claim. Let S be a finite subset of N. Notice that the restriction map  $L_S \to A_0 \times A_1^S \times A_2^S$ ,  $\vec{a} \mapsto (a_0, a_1^n, a_2^n \mid n \in S)$  is an isomorphism. Hence L is countable (as a countable union of countable lattices). Moreover the following equality holds

$$\ker(\pi_0 \upharpoonright L_S) \cap \bigcap_{n \in S} \ker(\pi_1^n \upharpoonright L_S) \cap \bigcap_{n \in S} \ker(\pi_2^n \upharpoonright L_S) = \mathbf{0}_{L_S}.$$
 (3.1)

Let  $\vec{u} = (u, f_1(u), f_2(u) \mid n \in \mathbb{N}), \ \vec{v} = (v, f_1(v), f_2(v) \mid n \in \mathbb{N})$ . Let S be a finite subset of N. Notice that  $\vec{u}, \vec{v}$  belong to  $L_S$ , moreover the following equalities hold

$$(\operatorname{Con} \pi_0 \upharpoonright L_S)(\Theta_{L_S}(\vec{u}, \vec{v})) = \Theta_{A_0}(u, v) = \mathbf{1}_{A_0}.$$

It implies that

$$\ker(\pi_0 \upharpoonright L_S) \lor \Theta_{L_S}(\vec{u}, \vec{v}) = \mathbf{1}_{L_S}.$$
(3.2)

Similarly, given  $k \in \{1, 2\}$  and  $n \in \mathbb{N}$  the following equalities hold

$$\operatorname{Con} \pi_k^n \upharpoonright L_S)(\Theta_{L_S}(\vec{u}, \vec{v})) = \Theta_{A_k^n}(f_k(u), f_k(v)) = \mathbf{1}_{A_k^v}.$$

Thus we obtain

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$$\ker(\pi_k^n \upharpoonright L_S) \lor \Theta_{L_S}(\vec{u}, \vec{v}) = \mathbf{1}_{L_S}.$$
(3.3)

Put  $\theta_0 = \ker(\pi_0 \upharpoonright L_S)$ , put  $\theta_k^n = \ker(\pi_k^n \upharpoonright L_S)$  for all  $k \in \{1, 2\}$  and all  $n \in \mathbb{N}$ , put  $\alpha = \Theta_{L_S}(\vec{u}, \vec{v})$ . The following equalities hold

$$\Theta_{L_S}(\vec{u}, \vec{v}) = \alpha \lor \left( \theta_0 \cap \bigcap_{n \in S} \theta_1^n \cap \bigcap_{n \in S} \theta_2^n \right), \qquad \text{by (3.1).}$$
$$= (\alpha \lor \theta_0) \cap \bigcap_{n \in S} (\alpha \lor \theta_1^n) \cap \bigcap_{n \in S} (\alpha \lor \theta_2^n), \qquad \text{by distributivity.}$$
$$= \mathbf{1}_{L_S}, \qquad \qquad \text{by (3.2) and (3.3).}$$

As  $L = \bigcup (L_S \mid S \text{ is a finite subset of } \mathbb{N})$ , it follows that  $\mathbf{1}_L = \Theta_L(\vec{u}, \vec{v})$  is a compact congruence of L, hence L belongs to  $\mathcal{V}^{\mathrm{b}}$ .  $\Box$  Claim 1.

Claim 2. Let  $\theta \in \operatorname{Con}_{c} L$ . There is  $n \in \mathbb{N}$  such that  $(\operatorname{Con}_{c} f_{k} \circ \pi_{0})(\theta) = (\operatorname{Con}_{c} \pi_{k}^{n})(\theta)$ for  $k \in \{1, 2\}$ .

Proof of Claim. We first assume that  $\theta$  is principal, there is  $\vec{a}, \vec{b}$  in L such that  $\theta = \Theta_L(\vec{a}, \vec{b})$ . We prove that the equality holds for all n except finitely many. Let  $n \in \mathbb{N} - (\operatorname{supp}(\vec{a}) \cup \operatorname{supp}(\vec{b}))$ , let  $k \in \{1, 2\}$ . The following equalities hold

$$(\operatorname{Con}_{c} f_{k} \circ \pi_{0})(\Theta_{L}(\vec{a}, \vec{b})) = \Theta_{A_{k}}(f_{k}(\pi_{k}(\vec{a})), f_{k}(\pi_{k}(\vec{b})))$$
  
$$= \Theta_{A_{k}}(f_{k}(a_{0}), f_{k}(b_{0}))$$
  
$$= \Theta_{A_{k}}(a_{n}, b_{n}), \qquad \text{as } n \notin \operatorname{supp}(\vec{a}) \cup \operatorname{supp}(\vec{b}).$$
  
$$= \Theta_{A_{k}}(\pi_{k}^{n}(\vec{a}), \pi_{k}^{n}(\vec{b}))$$
  
$$= (\operatorname{Con}_{c} \pi_{k}^{n})(\Theta_{L}(\vec{a}, \vec{b}))$$

As a compact congruence is a finite join of principal congruences the conclusion follows.  $\hfill Claim 2.$ 

Let  $K \in \mathcal{V}^0$  be a congruence-preserving extension of L. We identify  $\operatorname{Con}_{c} K$  and  $\operatorname{Con}_{c} L$ . Denote by  $p_0: K \to K/\ker \pi_0$  and by  $p_k^n: K \to K/\ker \pi_k^n$  the canonical projections. Denote by  $i_k^n: A_k^n \to K/\ker \pi_k^n$  the morphism induced by the inclusion map  $L \hookrightarrow K$ , that is the morphism such that  $i_k^n \circ \pi_k^n = p_k^n$ . Notice that  $\operatorname{Con}_{c} i_k^n$  is an isomorphism, for all  $k \in \{1, 2\}$  and all  $n \in \mathbb{N}$ .

Put  $\vec{u} = (u, f_1(u), f_2(u) \mid n \in \mathbb{N})$ . Put  $\theta = \Theta_K(0, \vec{u})$ . From Claim 1 there is  $n \in \mathbb{N}$  such that  $(\operatorname{Con}_c f_k \circ \pi_0)(\theta) = (\operatorname{Con}_c \pi_k^n)(\theta)$ , for all  $k \in \{1, 2\}$ .

If  $\theta \subseteq \ker \pi_0$  then  $(\operatorname{Con}_{\operatorname{c}} \pi_1^n)(\theta) = (\operatorname{Con}_{\operatorname{c}} f_1 \circ \pi_0)(\theta) = \mathbf{0}_{A_1}$ . Therefore the following equalities hold

$i_1^n(f_1(u)) = i_1^n(\pi_1^n(\vec{u})),$	by definition of $\vec{u}$ .
$= p_1^n(\vec{u}),$	as $i_1^n$ is induced by the inclusion map.
$= p_1^n(0),$	as $(0, \vec{u}) \in \ker p_1^n = \ker \pi_1^n$ .

However  $a < b = f_1(u)$ , so  $i_1^n(a) < i_1^n(f_1(u)) = p_1^n(0) \le i_1^n(a)$ ; a contradiction. It follows that  $\theta \not\subseteq \ker p_0$ .

Notice that  $(\operatorname{Con}_{c} f_{2} \circ \pi_{0})(\theta) = (\operatorname{Con}_{c} \pi_{2}^{n})(\theta)$ . As  $\theta \not\subseteq \ker p_{0}$  and  $\operatorname{Con}_{c} f_{2}$  separates 0, it follows that  $(\operatorname{Con}_{c} \pi_{2}^{n})(\theta) \neq \mathbf{0}_{A_{2}}$ , hence:

$$p_2^n(0) < p_2^n(\vec{u}) = i_2^n(\pi_2^n(\vec{u})) = i_2^n(f_2(u)) = i_2^n(a).$$

As  $i_2^n$  is an isomorphism we can identify  $L/\ker \pi_2^n$  with a congruence-preserving extension of  $A = A_2$ , put  $t = p_2^n(0)$ , we have t < a.

*Remark* 3.5. In the context of Lemma 3.4, changing B to one of its sublattices, we can assume that B is countable (cf. [3, Lemma 3.6]).

**Theorem 3.6.** Let  $\mathcal{V}$  be a variety of lattices. If each subdirectly irreducible lattice in  $\mathcal{V}$  has no infinite decreasing sequence then the following statements are equivalent.

- (1) Each countable congruence-bounded lattice in  $\mathcal{V}$  has a congruence-preserving extension in  $\mathcal{V}^0$ .
- (2) Let  $L \in \mathcal{V}$  be a subdirectly irreducible lattice, let x < y in L. If  $\Theta_L(x, y) = \mathbf{1}_L$  then x = 0.
- (3) Let  $L \in \mathcal{V}$ , let x < y in L. If  $\Theta_L(x, y) = \mathbf{1}_L$  then x = 0.
- (4) The category  $\mathcal{V}^{\mathbf{b}}$  is a subcategory of  $\mathcal{V}^{\mathbf{0}}$ .

*Proof.* The implication  $(4) \Longrightarrow (1)$  is immediate.

Assume that (1) holds and (2) fails. There is a subdirectly irreducible lattice  $L \in \mathcal{V}$  and elements a < b < c in L such that  $\Theta_L(b, c) = \mathbf{1}_L$ . Changing L to one of its sublattice we can assume that L is countable. Put  $L_0 = L$  and  $a_0 = a$ .

Let n > 0. Assume that we have constructed a sequence  $(L_i)_{i < n}$  of countable lattices and a sequence  $(a_i)_{i < n}$  such that  $a_i \in L_i$ , the lattice  $L_{i+1}$  is a congruence-preserving extension of  $L_i$ , and  $a_{i+1} < a_i$ , for all i < n - 1.

Notice that  $a_{n-1} \leq a_0 = a < b < c$ . By Lemma 3.4 there is a congruencepreserving extension  $L_n$  of  $L_{n-1}$  and  $a_n \in L_n$  such that  $a_n < a_{n-1}$ . Moreover by Remark 3.5 we can assume that  $L_n$  is countable. Hence we construct by induction a sequence  $(L_i)_{i < \omega}$  of countable lattices and a sequence  $(a_i)_{i < \omega}$  such that  $a_i \in L_i$ , the lattice  $L_{i+1}$  is a congruence-preserving extension of  $L_i$ , and  $a_{i+1} < a_i$ , for all  $i < \omega$ .

Put  $K = \bigcup_{n < \omega} L_i$ , as Con<sub>c</sub> preserves directed colimits it follows that Con<sub>c</sub>  $K \cong$  Con<sub>c</sub>  $L_0$  therefore K is subdirectly irreducible, moreover the  $a_i$ s form an infinite decreasing sequence; a contradiction.

Assume that (2) is satisfied, let  $L \in \mathcal{V}^{\mathbf{b}}$ , let x < y in L such that  $\Theta_L(x, y) = \mathbf{1}_L$ . Let  $\alpha \in M(\operatorname{Con} L)$ , then  $L/\alpha$  is subdirectly irreducible, moreover  $\Theta_{L/\alpha}(x/\alpha, y/\alpha) = \mathbf{1}_{L/\alpha}$ , so it follows from (2) that  $x/\alpha = 0$ .

Thus  $x/\alpha = 0$  for all  $\alpha \in M(\operatorname{Con} L)$ , however  $\bigcap M(\operatorname{Con} L) = \mathbf{0}_L$ , hence x = 0. Therefore (2)  $\Longrightarrow$  (3). Assume that (3) holds, let L in  $\mathcal{V}^{\mathrm{b}}$ , there are x, y in L such that  $\Theta_L(x, y) = \mathbf{1}_L$ , it follows from (3) that L has 0. Let  $f: K \to L$  be a morphism in  $\mathcal{V}^{\mathrm{b}}$ , there are x, y in K such that  $\Theta_K(x, y) = \mathbf{1}_K$ . The following equalities hold:

$$\Theta_L(f(x), f(y)) = (\operatorname{Con}_{c} f)(\Theta_K(x, y)) = (\operatorname{Con}_{c} f)(\mathbf{1}_K) = \mathbf{1}_L$$

Therefore, from (3) we obtain f(0) = f(x) = 0, therefore f is a morphism in  $\mathcal{V}^0$ .  $\Box$ 

*Remark* 3.7. There are lattices without any congruence-preserving extension with 0 (in any variety of lattices). For example consider an infinite chain  $A = \{x_0 > x_1 > x_1 > x_1 > x_1 > x_1 > x_2 > x_1 > x_1 > x_2 > x_2 > x_2 > x_1 > x_2 > x_$ 

 $\mathbf{6}$ 

 $x_2 > \ldots$ }. Notice that  $\operatorname{Con}_c A$  has no largest element. Let B be a congruencepreserving extension of A with 0, we identify  $\operatorname{Con} A$  and  $\operatorname{Con} B$ . The containment  $\Theta_B(0, x_0) \supseteq \Theta_B(x_k, x_0)$  holds for all  $k < \omega$ . Therefore  $\mathbf{1}_B \supseteq \Theta_B(0, x_0) \supseteq \mathbf{1}_A = \mathbf{1}_B$ hence  $\mathbf{1}_B = \Theta_B(0, x_0)$  is compact; a contradiction.

The following corollary is an immediate consequence of Theorem 3.6 and its dual.

**Corollary 3.8.** Let  $\mathcal{V}$  be a variety of lattices. If each subdirectly irreducible lattices in  $\mathcal{V}$  has no infinite chain then the following statements are equivalent.

- (1) Each countable lattice in  $\mathcal{V}^{\mathrm{b}}$  has a congruence-preserving extension in  $\mathcal{V}^{0,1}$ .
- (2) Let  $L \in \mathcal{V}$  be a subdirectly irreducible lattice, let x < y in L. If  $\Theta_L(x, y) = \mathbf{1}_L$  then x = 0 and y = 1.
- (3) The equality  $\mathcal{V}^{\mathbf{b}} = \mathcal{V}^{0,1}$  holds (the two categories have the same objects and the same morphisms).

**Example 3.9.** Denote by  $\mathcal{M}_3$  the variety of lattices generated by  $M_3$  (see Figure 1). Notice that  $\Theta_{M_3}(x, 1) = \mathbf{1}_{M_3}$ , so  $M_3$  fails the condition Theorem 3.6(2) hence there is a congruence-bounded lattice L in  $\mathcal{M}_3$  with no congruence-preserving extension with 0 in  $\mathcal{M}_3$ .

Denote by  $N_5$  the variety of lattices generated by  $N_5$  (see Figure 1). The subdirectly irreducible lattices of  $N_5$  are, up to isomorphisms,  $N_5$  and **2**, they satisfy both the condition Corollary 3.8(2). Therefore each congruence-bounded lattice in  $N_5$  has 0 and 1.

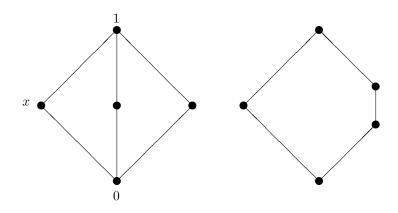


FIGURE 1. The lattices  $M_3$  and  $N_5$ .

## 4. A FUNCTOR

The goal of this section is to construct a functor  $\Psi \colon \mathcal{M}_n^{\mathrm{b}} \to \mathcal{M}_n^{0,1}$ , preserving colimits and such that  $\operatorname{Con}_{\mathrm{c}} \circ \Psi$  is naturally equivalent to  $\operatorname{Con}_{\mathrm{c}}$ .

The following Lemma expresses that an interval of a quotient of a lattice is a quotient of an interval (we identify a quotient of a sublattice with a sublattice of a quotient).

**Lemma 4.1.** Let  $a \leq b$  in a lattice L, let  $\theta \in \text{Con } L$ , then  $[a, b]_L/\theta = [a/\theta, b/\theta]_{L/\theta}$ .

*Proof.* Let  $x \in [a, b]_L/\theta$ , there is  $y \in [a, b]_L$  such that  $y/\theta = x$ , thus  $a/\theta \le y/\theta = x \le b/\theta$ , therefore  $x \in [a/\theta, b/\theta]_{L/\theta}$ .

Let  $x \in [a/\theta, b/\theta]_{L/\theta}$ , there is  $y \in L$  such that  $y/\theta = x$ . Put  $y' = (y \lor a) \land b$ , then  $a \le y' \le b$ , and  $y'/\theta = (y/\theta \lor a/\theta) \land b/\theta = x$ , therefore  $x \in [a, b]_L/\theta$ .  $\Box$ 

Remark 4.2. Let K be a simple lattice in  $\mathcal{M}_{\omega}$ , let x < y in K. The lattice  $[x, y]_K$  is simple.

**Lemma 4.3.** Let K be a simple lattice in  $\mathcal{M}_{\omega}$ , let x < y in K, let  $u \leq v$  and  $u' \leq v'$  in  $[x, y]_K$ . If  $\Theta_K(u, v) = \Theta_K(u', v')$  then  $\Theta_{[x,y]_K}(u, v) = \Theta_{[x,y]_K}(u', v')$ 

*Proof.* As  $\Theta_K(u, v) = \Theta_K(u', v')$  it follows that u = v if and only if u' = v'.

If u = v then  $\Theta_{[x,y]_K}(u,v) = \mathbf{0}_{[x,y]_K} = \Theta_{[x,y]_K}(u',v')$ . If  $u \neq v$ , as  $[x,y]_K$  is simple (cf. Remark 4.2), it follows that  $\Theta_{[x,y]_K}(u,v) = \mathbf{1}_{[x,y]_K} = \Theta_{[x,y]_K}(u',v')$ .  $\Box$ 

Remark 4.4. Let L be a finite modular lattice then Con L is a Boolean semilattice, moreover the atoms of Con L are the congruences of the form  $\Theta_L(u, v)$  for  $u \prec v$ in L.

**Lemma 4.5.** Let *L* be a finite lattice in  $\mathcal{M}_{\omega}$ , let x < y in *L*. Denote by  $f : [x, y]_L \hookrightarrow$ *L* the inclusion map. The restriction  $\operatorname{Con} f : \operatorname{Con}[x, y]_L \to \operatorname{Con} L \downarrow \Theta_L(x, y)$  is an isomorphism.

*Proof.* Put  $A = [x, y]_L$ , as A and L are both finite modular lattices, it follows that Con A and Con L are finite Boolean semilattice.

Let  $\theta$  be an atom of Con A. By Remark 4.4 there are  $u \prec v$  in A such that  $\theta = \Theta_A(u, v)$ , however  $u \prec v$  in L, it follows from Remark 4.4 that  $\Theta_L(u, v)$  is an atom of Con L. Thus  $(\text{Con } f)(\theta) = \Theta_L(u, v)$  is an atom of Con L.

The following equalities hold

$$\bigvee (\operatorname{Con} f)(\operatorname{At}(\operatorname{Con} A)) = (\operatorname{Con} f)(\bigvee \operatorname{At}(\operatorname{Con} A))$$
$$= (\operatorname{Con} f)(\mathbf{1}_A)$$
$$= (\operatorname{Con} f)(\Theta_A(x, y))$$
$$= \Theta_L(x, y).$$

As Con L is a Boolean semilattice and  $(\text{Con } f)(\operatorname{At}(\text{Con } A)) \subseteq \operatorname{At}(\text{Con } L)$ , it follows that  $(\text{Con } f)(\operatorname{At}(\text{Con } A)) = \operatorname{At}(\text{Con } L \downarrow \Theta_L(x, y))$ . Therefore the restriction of Con f is surjective.

To prove that Con f is one-to-one we just have to prove that  $(\text{Con } f) \upharpoonright \operatorname{At}(\operatorname{Con} L)$ is one-to-one. Let  $\alpha, \beta \in \operatorname{At}(\operatorname{Con} L)$ . Assume that  $(\operatorname{Con} f)(\alpha) = (\operatorname{Con} f)(\beta)$ . Let  $u \prec v$  in A such that  $\alpha = \Theta_A(u, v)$ , let  $u' \prec v'$  in A such that  $\beta = \Theta_A(u', v')$ . Notice that  $\Theta_L(u, v) = (\operatorname{Con} f)(\alpha) = (\operatorname{Con} f)(\beta) = \Theta_L(u', v')$ .

Let  $\theta \in M(\operatorname{Con} L)$ . If  $x/\theta = y/\theta$ , then  $u/\theta = v/\theta = u'/\theta = v'/\theta$ , hence  $\Theta_{A/\theta}(u/\theta, v/\theta) = \Theta_{A/\theta}(u'/\theta, v'/\theta)$ .

Now we assume that  $x/\theta < y/\theta$ . By Lemma 4.1,  $A/\theta$  is an interval in  $L/\theta$ . Notice that  $\Theta_{L/\theta}(u/\theta, v/\theta) = \Theta_{L/\theta}(u'/\theta, v'/\theta)$ , moreover  $L/\theta$  is simple (see Remark 4.4), therefore Lemma 4.3 implies that  $\Theta_{A/\theta}(u/\theta, v/\theta) = \Theta_{A/\theta}(u'/\theta, v'/\theta)$ . Thus the following equality holds

 $\Theta_A(u,v) \lor (\theta \cap A^2) = \Theta_A(u',v') \lor (\theta \cap A^2), \text{ for each } \theta \in M(\text{Con } L).$ 

However  $M(\operatorname{Con} L)$  is finite,  $\operatorname{Con} A$  is distributive and  $\bigwedge M(\operatorname{Con} L) = 0_L$ , hence  $\alpha = \Theta_A(u, v) = \Theta_A(u', v') = \beta$ . Therefore  $\operatorname{Con}_{\mathbf{c}} f$  is one-to-one.

The following corollary is an immediate consequence of Lemma 4.5.

**Corollary 4.6.** Let *L* be a finite lattice in  $\mathcal{M}_{\omega}$ , let  $a \leq b \leq c \leq d$  in *L* such that  $\Theta_L(b,c) = \Theta_L(a,d)$ . Then  $\Theta_{[a,d]_L}(b,c) = \mathbf{1}_{[a,d]_L}$ .

Remark 4.7. Denote by  $\mathcal{M}_{3,3}$  the variety of lattices generated by  $M_{3,3}$ , see Figure 2. Corollary 4.6 cannot be generalized for  $\mathcal{M}_{3,3}$ . We have  $\Theta_{M_{3,3}}(b,c) = \mathbf{1}_{M_{3,3}}$  and  $\Theta_{[a,d]}(b,c) \neq \mathbf{1}_{[a,d]}$ .

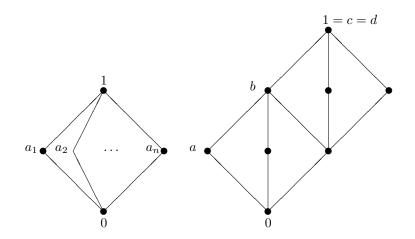


FIGURE 2. The lattices  $M_n$  and  $M_{3,3}$ .

The following result appears in [5, Theorem 10.4]. It gives a description of finitely generated congruences of general algebra.

**Lemma 4.8.** Let B be an algebra, let m be a positive integer, let  $x, y \in B$ , and let  $\vec{x}, \vec{y}$  be m-tuples of B. Then  $\Theta_B(x, y) \leq \bigvee_{i < m} \Theta_B(x_i, y_i)$  if and only if there are a positive integer n, a list  $\vec{z}$  of parameters from B, and terms  $t_0, \ldots, t_n$  such that

$$\begin{split} x &= t_0(\vec{x}, \vec{y}, \vec{z}), \\ y &= t_n(\vec{x}, \vec{y}, \vec{z}), \\ t_j(\vec{y}, \vec{x}, \vec{z}) &= t_{j+1}(\vec{x}, \vec{y}, \vec{z}) \quad (for \ all \ j < n). \end{split}$$

It follows from Lemma 4.8 that if two finitely generated congruences are comparable in a locally finite algebra, then there is a "reason" in a finite subalgebra.

**Corollary 4.9.** Let B be a locally finite algebra, let m be a positive integer, let X be a finite subset of B, let  $x, y \in X$ , and let  $\vec{x}, \vec{y}$  be m-tuples of X, if  $\Theta_B(x, y) \leq \bigvee_{i < m} \Theta_B(x_i, y_i)$ , then there is a finite subalgebra C of B such that  $X \subseteq C$  and  $\Theta_C(x, y) \leq \bigvee_{i < m} \Theta_C(x_i, y_i)$ .

**Lemma 4.10.** Let  $L \in \mathcal{M}_{\omega}^{\mathrm{b}}$ . Let x < y in L such that  $\Theta_L(x, y) = \mathbf{1}_L$ . Denote by P the set of all finite sublattice A of L such that  $x, y \in A$  and  $\Theta_A(x, y) = \mathbf{1}_A$ . The following statement are satisfied

- (1) Let X be a finite subset of L, there is  $A \in P$  such that  $X \subseteq A$ .
- (2) The poset  $(P, \subseteq)$  is directed.

*Proof.* Let X be a finite subset of L, we can assume that  $x, y \in X$ . Put  $a = \bigwedge X$ , put  $b = \bigvee X$ , hence  $a \leq x < y \leq b$ . Notice that  $\Theta_L(a,b) = \Theta_L(x,y) = \mathbf{1}_L$ . It follows from Corollary 4.9 that there exists a finite sublattice A of L such that  $X \subseteq A$  and  $\Theta_A(a,b) \subseteq \Theta_A(x,y)$ . However  $a \leq x < y \leq b$  so  $\Theta_A(a,b) = \Theta_A(x,y)$ . It follows from Corollary 4.6 that  $\Theta_{[a,b]_A}(x,y) = \mathbf{1}_{[a,b]_A}$ .

Put  $B = [a, b]_A$ . Notice that  $X \subseteq B$  and  $\Theta_B(x, y) = \mathbf{1}_B$ , therefore B belongs to P. Hence (1) holds.

The statement (2) follows from (1).

From Lemma 4.10 and Lemma 3.3 we obtain the following corollary.

**Corollary 4.11.** Let  $n \leq \omega$ . Every lattice in  $\mathcal{M}_n^{\mathrm{b}}$  is a directed colimit of finite lattices in  $\mathcal{M}_n^{\mathrm{b}}$ . The finitely presented objects in  $\mathcal{M}_n^{\mathrm{b}}$  are the finite lattices in  $\mathcal{M}_n^{\mathrm{b}}$ .

Each lattice in  $\mathcal{M}^{\rm b}_{\omega}$  has a bounded congruence-preserving sublattice. It is a generalization of Lemma 4.5 in the infinite case.

**Corollary 4.12.** Let  $L \in \mathcal{M}_{\omega}^{\mathrm{b}}$ . Let x < y in L such that  $\mathbf{1}_{L} = \Theta_{L}(x, y)$ . Denote by  $f : [x, y]_{L} \hookrightarrow L$  the inclusion map, then  $\operatorname{Con}_{c} f$  is an isomorphism.

*Proof.* Denote by P the set of all finite sublattice A of L, such that  $x, y \in A$  and  $\Theta_A(x, y) = \mathbf{1}_A$ . Lemma 4.10 implies that  $(P, \subseteq)$  is a directed poset, moreover  $L = \bigcup_{A \in P} A$ . It follows that  $[x, y]_L = \bigcup_{A \in P} [x, y]_A$ .

Denote by  $f_A: [x, y]_A \to A$  the inclusion map. As  $\Theta_A(x, y) = \mathbf{1}_A$ , it follows from Lemma 4.5 that  $\operatorname{Con} f_A: \operatorname{Con}[x, y]_A \to \operatorname{Con} A$  is an isomorphism, for all  $A \in P$ . Moreover  $f = \bigcup_{A \in P} f_A$ . Therefore  $\operatorname{Con} f = \varinjlim \operatorname{Con} f_A$ , but  $\operatorname{Con} f_A$  is an isomorphism for all  $A \in P$ , therefore  $\operatorname{Con} f$  is an isomorphism.  $\Box$ 

Corollary 4.12 extends to diagrams indexed by poset with 0.

**Corollary 4.13.** Let P be a poset with 0. Let  $\vec{A} = (A_p, f_{p,q} \mid p \leq q \text{ in } P)$  be a diagram in  $\mathfrak{M}^{\mathrm{b}}_{\omega}$ . Let x < y in  $A_0$  such that  $\mathbf{1}_{A_0} = \Theta_{A_0}(x, y)$ . Put  $B_p = [f_{0,p}(x), f_{0,p}(y)]_{A_p}$ , denote by  $t_p: B_p \to A_p$  the inclusion map, denote by  $g_{p,q}: B_p \to B_q$  the restriction of  $f_{p,q}$ , for all  $p \leq q$  in P. Put  $\vec{B} = (B_p, g_{p,q} \mid p \leq q \text{ in } P)$ , it is a diagram in  $\mathfrak{M}^{0,1}_{\omega}$ . The family  $(t_p)_{p \in P}$  is a natural transformation from  $\vec{B}$  to  $\vec{A}$ . Moreover  $(\operatorname{Con}_c t_p)_{p \in P}$  is a natural equivalence.

Remark 4.14. The Corollary 4.13 cannot be extended to diagrams indexed by arbitrary poset. We consider the sublattices  $A_0 = \{0, x\}$  and  $A_1 = \{x, 1\}$  of  $M_3$  (see Figure 1). The three lattices  $A_0, A_1, M_3$  form a diagram  $\vec{A}$  of  $\mathcal{M}_3^{\rm b}$  under inclusion. The diagram  $\vec{A}$  is not a congruence-preserving extension of any diagram in  $\mathcal{M}_3^{0,1}$ .

The following Lemma is proved in [3, Lemma 8.1].

**Lemma 4.15.** Let A be a finite algebra with Con A distributive, let  $\alpha \in \text{Con } A$ , and put  $Q = \{\theta \in M(\text{Con } A) \mid \alpha \leq \theta\}$ . If all  $A/\theta$ , for  $\theta \in Q$ , are simple, then the canonical map  $\text{Con } A \to \text{Con}(A/\alpha) \times \prod_{\theta \in Q} \text{Con}(A/\theta)$  is an isomorphism.

Notation 4.16. Let  $3 \leq n \leq \omega$ , we denote by  $\mathcal{M}_n^{b\dagger}$  the full subcategory of  $\mathcal{M}_n^{b}$  in which objects are the finite lattices in  $\mathcal{M}_n^{b}$ .

Remark 4.17. Let  $f: A \to B$  a morphism of distributive lattices. If  $(\operatorname{Con}_{c} f)(\mathbf{1}_{A}) = \mathbf{1}_{B}$ , then f is a 0, 1-homomorphism.

**Lemma 4.18.** There is a functor  $\Psi \colon \mathfrak{M}_n^{\mathrm{b}\dagger} \to \mathfrak{M}_n^{0,1}$  such that  $\operatorname{Con}_{\mathrm{c}} \circ \Psi$  is naturally equivalent to  $\operatorname{Con}_{\mathrm{c}}$ .

*Proof.* Let  $A \in \mathcal{M}_n$  be a finite lattice, we denote by  $\alpha_A$  the smallest congruence of A such that  $A/\alpha_A$  is distributive. Denote  $Q_A = \{\theta \in \mathcal{M}(\operatorname{Con} A) \mid \alpha_A \not\leq \theta\}$ . Notice that if  $\beta \in Q_A$ , then  $A/\beta$  is simple and not distributive, thus  $A/\beta \in \{M_k \mid 3 \leq k < \omega\}$ . Denote  $R_A = \{\alpha_A\} \cup Q_A$ . Denote  $S_A = \{\theta \in \operatorname{Con} A \mid \theta \supseteq \alpha_A \text{ or } \theta \in R_A\}$ .

Put  $\Psi(A) = \prod_{\beta \in R_A} A/\beta$ . Denote by  $t_A \colon A \to \Psi(A), x \mapsto (x/\beta)_{\beta \in R_A}$ . Put  $\xi_A = \operatorname{Con} t_A$ , by Lemma 4.15 the map  $\xi_A$  is an isomorphism.

Given  $\theta \in S_A$ , we denote

$$p_{\theta}^{A} \colon \Psi(A) \to A/\theta$$
$$(u_{\beta}/\beta)_{\beta \in R_{A}} \mapsto \begin{cases} u_{\theta}/\theta & \text{if } \theta \in R_{A} \\ u_{\alpha_{A}}/\theta & \text{if } \theta \supseteq \alpha_{A} \end{cases}$$

Given  $\theta \subseteq \gamma$  in  $S_A$ , we denote by  $p_{\theta,\gamma}^A \colon A/\theta \twoheadrightarrow A/\gamma$  the canonical projection. The following equality is immediate:

$$p_{\theta,\gamma}^A \circ p_{\theta}^A = p_{\gamma}^A, \quad \text{for all } \theta \supseteq \gamma \text{ in } S_A.$$
 (4.1)

Given  $\theta$  in  $S_A$ , we denote by  $\pi_{\theta}^A \colon A \twoheadrightarrow A/\theta$  the canonical projection. The following equality holds

$$p_{\theta}^{A} \circ t_{A} = \pi_{\theta}^{A}, \quad \text{for all } \theta \in S_{A}.$$
 (4.2)

**Claim.** Let  $f: A \to B$  be a morphism in  $\mathfrak{M}_n^{\mathsf{b}\dagger}$ . Let  $\beta \in S_B$ . The following statement are satisfied

- (1)  $f^{-1}(\beta) \in S_A$ .
- (2) If the map  $A/f^{-1}(\beta) \to B/\beta$  induced by f does not preserve bounds, then  $\beta \in Q_B$  and  $A/f^{-1}(\beta)$  is the two-element chain.

*Proof of Claim.* Denote by  $g: A/f^{-1}(\beta) \hookrightarrow B/\beta$  the morphism induced by f. Notice that g is a morphism in  $\mathcal{M}_n^{\mathrm{b}\dagger}$ .

If  $\beta \supseteq \alpha_B$ , then  $B/\beta$  is distributive, hence  $A/f^{-1}(\beta)$  is distributive. It follows from Remark 4.17 that g is a 0, 1-homomorphism. Moreover  $f^{-1}(\beta) \supseteq \alpha_A$ , therefore  $f^{-1}(\beta) \in S_A$ .

Assume that  $\beta \not\supseteq \alpha_B$ , it follows that  $\beta \in Q_B$ . If  $f^{-1}(\beta) \not\supseteq \alpha_A$ , then  $A/f^{-1}(\beta)$  is not distributive, however  $A/f^{-1}(\beta)$  embeds into  $B/\beta \in \{M_k \mid 3 \leq k < \omega\}$ , therefore  $A/f^{-1}(\beta)$  is simple. It follows that  $f^{-1}(\beta) \in Q_A$ .

Assume that  $\beta \not\supseteq \alpha_B$  and  $A/f^{-1}(\beta)$  is not a two-element chain. Notice that  $A/f^{-1}(\beta)$  has at least three elements. As  $B/\beta \in \{M_k \mid 3 \leq k < \omega\}$  and g is an embedding, it follows that  $A/f^{-1}(\beta)$  belongs (up to isomorphism) to  $\{M_k \mid 3 \leq k < \omega\} \cup \{\mathbf{3}, \mathbf{2}^2\}$ .

As the length of  $B/\beta$  is two and the length of all lattices in  $\{M_k \mid 3 \leq k < \omega\} \cup \{\mathbf{3}, \mathbf{2}^2\}$  is two, it follows that g is a 0, 1-homomorphism.  $\square$  Claim.

Let  $f: A \to B$ . Let  $\beta \in S_B$ . If  $A/f^{-1}(\beta)$  is not a two-element chain or  $\beta \notin Q_B$ , we denote by  $f_{\beta}: A/f^{-1}(\beta) \to B/\beta$  the morphism induced by f. It follows from the claim that f is a 0, 1-homomorphism.

If  $A/f^{-1}(\beta)$  is a two-element chain and  $\beta \in Q_B$ , we denote by  $f_{\beta} \colon A/f^{-1}(\beta) \to B/\beta$  the only 0, 1-homomorphism.

Let  $\gamma \supseteq \theta \supseteq \alpha_B$  in Con *B*. Notice that  $\theta, \gamma \notin Q_B$ , hence  $f_{\theta} \colon A/f^{-1}(\theta) \to B/\theta$  is the map induced by f and  $f_{\gamma} \colon A/f^{-1}(\gamma) \to B/\gamma$  is the map induced by f. Thus the following equality holds

$$p_{\theta,\gamma}^B \circ f_\theta = f_\gamma \circ p_{f^{-1}(\theta),f^{-1}(\gamma)}^A, \quad \text{for all } \gamma \supseteq \theta \supseteq \alpha_B \text{ in Con } B.$$
(4.3)

We denote

$$\Psi(f) \colon \Psi(A) \to \Psi(B)$$
$$u \mapsto (f_{\beta}(p_{f^{-1}(\beta)}^{A}(u)))_{\beta \in R_{B}}$$

Notice that

$$p_{\theta}^{B} \circ \Psi(f) = f_{\theta} \circ p_{f^{-1}(\theta)}^{A}, \quad \text{for each } \theta \in Q_{B}.$$

$$(4.4)$$

Let  $\theta \supseteq \alpha_B$ , the following equalities hold

$$p_{\theta}^{B} \circ \Psi(f) = p_{\alpha_{B},\theta}^{B} \circ p_{\alpha_{B}}^{B} \circ \Psi(f), \qquad \text{by (4.1).}$$
$$= p_{\alpha_{B},\theta}^{B} \circ f_{\alpha_{B}} \circ p_{f^{-1}(\alpha_{B})}^{A}, \qquad \text{by (4.4).}$$
$$= f_{\theta} \circ p_{f^{-1}(\alpha_{B}),f^{-1}(\theta)}^{A} \circ p_{f^{-1}(\alpha_{B})}^{A}, \qquad \text{by (4.3).}$$

$$= f_{\theta} \circ p_{f^{-1}(\theta)}^A, \qquad \qquad \text{by (4.1)}.$$

It follows that

$$p_{\theta}^B \circ \Psi(f) = f_{\theta} \circ p_{f^{-1}(\theta)}^A, \text{ for each } \theta \in S_B.$$
 (4.5)

Let  $f: A \to B$  and  $g: B \to C$  be morphisms in  $\mathcal{M}_n^{b\dagger}$ . Let  $\gamma \in S_C$ . Assume that  $A/f^{-1}(g^{-1}(\gamma))$  is not a two-element chain. As  $A/f^{-1}(g^{-1}(\gamma))$  embeds into  $B/g^{-1}(\gamma)$  it follows that  $B/g^{-1}(\gamma)$  is not a two-element chain. Thus  $f_{g^{-1}(\gamma)}: A/f^{-1}(g^{-1}(\gamma)) \to B/g^{-1}(\gamma)$  is the morphism induced by f, similarly  $g_{\gamma}$  is induced by g, and  $(g \circ f)_{\gamma}$  is induced by  $g \circ f$ , therefore  $(g \circ f)_{\gamma} = g_{\gamma} \circ f_{g^{-1}(\gamma)}$ .

Assume that  $A/f^{-1}(g^{-1}(\gamma))$  is a two-element chain. Notice that both  $(g \circ f)_{\gamma}$ and  $g_{\gamma} \circ f_{g^{-1}(\gamma)}$  preserve bounds, however there is a unique 0, 1-homomorphism  $A/f^{-1}(g^{-1}(\gamma)) \to C/\gamma$ . Therefore the following equality holds

$$(g \circ f)_{\gamma} = g_{\gamma} \circ f_{g^{-1}(\gamma)}, \quad \text{for all } \gamma \in S_C.$$
 (4.6)

Let  $\gamma \in S_C$ . The following equalities hold

$$p_{\gamma}^C \circ \Psi(g \circ f) = (g \circ f)_{\gamma} \circ p_{f^{-1}(g^{-1}(\gamma))}^A, \qquad \text{by (4.5).}$$

$$= g_{\gamma} \circ f_{g^{-1}(\gamma)} \circ p_{f^{-1}(g^{-1}(\gamma))}^{A}, \qquad \text{by (4.6).}$$

$$= g_{\gamma} \circ p_{g^{-1}(\gamma)}^B \circ \Psi(f), \qquad \qquad \text{by (4.5).}$$

$$= p_{\gamma}^C \circ \Psi(g) \circ \Psi(f), \qquad \qquad \text{by (4.5).}$$

Thus  $\Psi(g \circ f) = \Psi(g) \circ \Psi(f)$ . Moreover it is easy to prove that  $\Psi(\mathrm{id}_A) = \mathrm{id}_{\Psi(A)}$  for all A in  $\mathcal{M}_n^{\mathrm{b}\dagger}$ . Therefore  $\Psi$  is a functor.

Let  $f: A \to B$  be a morphism in  $\mathcal{M}_n^{b\dagger}$ . Denote by  $g: A/f^{-1}(\gamma) \to B/\gamma$  the morphism induced by f. Notice that g is a morphism in  $\mathcal{M}_n^{b\dagger}$ . Let  $\gamma \in S_C$ . The following equalities hold

$$p_{\gamma}^{B} \circ \Psi(f) \circ t_{A} = f_{\gamma} \circ p_{f^{-1}(\gamma)}^{A} \circ t_{A}, \qquad \text{by (4.5).}$$

$$= f_{\gamma} \circ \pi_{f^{-1}(\gamma)}^{A}, \qquad \qquad \text{by } (4.2)$$

The following equalities hold

$$\begin{split} p_{\gamma}^B \circ t_B \circ f &= \pi_{\gamma}^B \circ f, & \text{by (4.2).} \\ &= g \circ \pi_{f^{-1}(\gamma)}^A, & \text{as } g \text{ is induced by } f. \end{split}$$

If  $A/f^{-1}(\gamma)$  is not a two-element chain then the map  $f_{\gamma}$  is induced by f, hence  $g = f_{\gamma}$ , thus Con  $f_{\gamma} = \text{Con } g$ .

Assume that  $A/f^{-1}(\gamma)$  is a two-element chain. Both map Con  $f_{\gamma}$  and Con qare  $(\vee, 0, 1)$ -homomorphism, however there is only one  $(\vee, 0, 1)$ -homomorphism  $\operatorname{Con} A/f^{-1}(\gamma) \to \operatorname{Con} B/\gamma$ , thus  $\operatorname{Con} f_{\gamma} = \operatorname{Con} g$ . Therefore the following equality holds

$$(\operatorname{Con} p_{\gamma}^{B}) \circ (\operatorname{Con} \Psi(f)) \circ \xi_{A} = (\operatorname{Con} p_{\gamma}^{B}) \circ \xi_{B} \circ (\operatorname{Con} f), \text{ for all } \gamma \in R_{B}$$

It implies that  $(\operatorname{Con} \Psi(f)) \circ \xi_A = \xi_B \circ (\operatorname{Con} f)$ . Thus  $(\xi_A \mid A \in \mathcal{M}_n^{\mathrm{b}\dagger})$  is a natural equivalence.  $\square$ 

**Corollary 4.19.** Let  $n \geq 3$ . There exists a functor  $\Psi \colon \mathfrak{M}_n^{\mathrm{b}} \to \mathfrak{M}_n^{0,1}$ , preserving colimits and such that  $\operatorname{Con}_{\mathrm{c}} \circ \Psi$  is naturally equivalent to  $\operatorname{Con}_{\mathrm{c}}$ .

*Proof.* Let  $\Psi: \mathcal{M}_n^{\mathrm{b}\dagger} \to \mathcal{M}_n^{0,1}$  be the functor constructed in Lemma 4.18, such that  $\operatorname{Con}_{c} \circ \Psi$  is naturally equivalent to  $\operatorname{Con}_{c}$ .

The following statements hold.

- (1) The category  $\mathcal{M}_n^{\mathrm{b}\dagger}$  is a full subcategory of finitely presented objects in  $\mathcal{M}_n^{\mathrm{b}}$ (cf. Lemma 3.3(3)).
- (2) The category M<sub>n</sub><sup>0,1</sup> has all small directed colimits.
  (3) All objects in M<sub>n</sub><sup>b</sup> is a small directed colimits of objects in M<sub>n</sub><sup>b†</sup> (cf. Corollary 4.11).
- (4) The category  $\mathcal{M}_n^{\mathrm{b}}$  has all small hom-sets.

It follows from [4, Proposition 1-4.2] that there exists a functor  $\overline{\Psi} \colon \mathcal{M}_n^{\mathrm{b}} \to \mathcal{M}_n^{0,1}$ such that  $\overline{\Psi} \upharpoonright \mathcal{M}_n^{\mathrm{b}\dagger} = \Psi$  and  $\overline{\Psi}$  preserves all small directed colimits.

Denote by S the category of  $(\lor, 0, 1)$ -semilattice with  $(\lor, 0, 1)$ -homomorphism. The category S has all small directed colimits. Both functors  $\operatorname{Con}_{c} \circ \overline{\Psi} \colon \mathcal{M}_{n}^{b} \to S$  and  $\operatorname{Con}_{c} \colon \mathcal{M}_{n}^{\mathrm{b}} \to \mathbb{S}$  preserve all small directed colimits and  $\operatorname{Con}_{c} \circ \overline{\Psi} \upharpoonright \mathcal{M}_{n}^{\mathrm{b}\dagger} = \operatorname{Con}_{c} \circ \Psi \cong$  $\operatorname{Con}_{c} \upharpoonright \mathcal{M}_{n}^{h^{\dagger}}$ . Therefore it follows from the uniqueness (cf. [4, Remark 1-4.5]) that  $\operatorname{Con}_{c} \circ \overline{\Psi} \cong \operatorname{Con}_{c}.$ 

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